

| Fields | SCHEME SUMMARY DOCUMENT                          |   |
|--------|--|---|
| 1      | Fund Name  | Sundaram Small Cap Fund   |
| 2      | Option Names (Regular & Direct)                  | Regular Plan and Direct Plan: Options: Growth, Payout of IDCW, Reinvestment of IDCW   |
| 3      | Fund Type  | An open ended equity scheme investing predominantly investing in small cap stocks   |
| 4      | Riskometer (At the time of Launch)               | Very High Risk  |
| 5      | Riskometer (as on Date)                          | Very High Risk  |
| 6      | Category as Per SEBI Categorization Circular     | Small Cap   |
| 7      | Potential Risk Class (as on date)                | NA  |
| 8      | Description, Objective of the scheme             | The objective of the scheme is to achieve capital appreciation by investing predominantly in stocks that are termed as small-cap stocks. No Guarantee: Investors are neither being offered any guaranteed/indicated returns nor any guarantee on repayment of capital by the Scheme. There is also no guarantee of capital or return either by the mutual fund or by the sponsor or Trustees by the Asset management Company  |
| 9      | Stated Asset Allocation                          | Equity & Equity related 65%-100%; high instruments of Small cap companies@ Other equities* 0-35%; high Fixed Income, Money Market 0-35%; Low to Medium instruments and Cash & Cash equivalents units issued by ReITs/InvITs 0-10%; Medium to high Overseas Securities (including ETFs) 0-35% of the net assets  |
| 10     | Face Value                                       | Rs. 10/- per unit   |
| 11     | NFO Open Date                                    | NA  |
| 12     | NFO Close date                                   | NA  |
| 13     | Allotment Date                                   | 01 Feb 2005   |
| 14     | Reopen Date                                      | NA  |
| 15     | Maturity Date (For closed-end funds)             | NA  |
| 16     | Benchmark (Tier 1)                               | Nifty Small Cap 250 TRI   |
| 17     | Benchmark (Tier 2)                               | Not Applicable  |
| 18     | Fund Manager Name                                | FM1: Mr. Rohit Seksaria, FM2 - Mr. Shalav Saket(Overseas Securities)  |
| 19     | Fund Manager Type (Primary/Comanage/Description) | Primary   |
| 20     | Fund Manager From Date                           | 1st November 2022   |
| 21     | Annual Expense (Stated maximum)                  | Regular 1.91, Direct 0.85   |
| 22     | Exit Load (if applicable)                        | Nil - If up to 25% of the units invested are redeemed or withdrawn by way of SWP within 365 days from the date of allotment. Any redemptions or withdrawals by way of SWP in excess of the above mentioned limit would be subject to an exit load of 1 % of the applicable NAV will be charged, if the units are redeemed within 365 days from the date of allotment of units For redemption or withdrawal by way of SWP after 365 days from the date of allotment - Nil. Further, exit load will be waived on Intra-scheme and Inter scheme Switchouts/STP. Generally, the exit load will be calculated on First in First out (FIFO) basis |
| 23     | Custodian  | Standard Chartered Bank, Mumbai   |
| 24     | Auditor  | R.G.N.PRICE & CO  |
| 25     | Registrar  | KFin Technologies Limited   |
| 26     | RTA Code (To be phased out)                      | SC  |
| 27     | Listing Details                                  | Unlisted  |
| 28     | ISINs  | Regular Plan IDCW Payout INF903J01454; Regular Plan IDCW Re-Investment INF903J01462; Regular Plan Growth INF903J01470; Direct Plan IDCW Payout INF903J01NI3; Direct Plan Growth INF903J01NK9; Direct Plan IDCW Reinvestment INF903J01NJ1  |
| 29     | AMFI Codes (To be phased out)                    | 119588,119589,100794, 100795, 112064 and 112207   |
| 30     | SEBI Codes                                       | SUND/O/E/SCF/04/12/0013   |
| 31     | Minimum Application Amount                       | New Investor – Rs.100/-   |
| 32     | Minimum Application Amount in multiples of Rs.   | Any amount thereafter under each Plan/option.   |
| 33     | Minimum Additional Amount                        | Existing Investor - Rs. 100/-   |
| 34     | Minimum Additional Amount in multiples of Rs.    | Any amount thereafter under each Plan/option.   |
| 35     | Minimum Redemption Amount in Rs.                 | "The minimum amount for redemption/switch out will be: The minimum amount for redemption/switch out will be: Regular & Direct Plan: Rs.100/- "  |
| 36     | Minimum Redemption Amount in Units               | 1 unit  |
| 37     | Minimum Balance Amount (if applicable)           | Not Applicable  |
| 38     | Minimum Balance Amount in Units (if applicable)  | Not Applicable  |
| 39     | Max Investment Amount                            | Not Applicable  |
| 40     | Minimum Switch Amount (if applicable)            | Same as redemption  |
| 41     | Minimum Switch Units                             | Same as redemption  |
| 42     | Switch Multiple Amount (if applicable)           | Not Applicable  |
| 43     | Switch Multiple Units (if applicable)            | Not Applicable  |
| 44     | Max Switch Amount                                | Not Applicable  |
| 45     | Max Switch Units (if applicable)                 | Not Applicable  |
| 46     | Swing Pricing (if applicable)                    | Not Applicable  |
| 47     | Side-pocketing (if applicable)                   | Not Applicable  |
| 48     | SIP SWP & STP Details: Frequency                 | SIP: Daily, Weekly, Monthly and Quarterly STP: Daily, Weekly, Monthly, Quarterly, SWP: Monthly; Quarterly, Half-Yearly and Annually   |
| 49     | SIP SWP & STP Details: Minimum amount            | "SIP: Weekly: Rs. 1000, Daily, Monthly: Rs.100, Quarterly: Rs. 750. STP: Daily, Weekly: Rs. 1000, Monthly: Rs. 100, Quarterly: Rs. 750 SWP: Monthly and Quarterly : Rs.100"   |
| 50     | SIP SWP & STP Details: In multiple of            | 1   |
| 51     | SIP SWP & STP Details: Minimum Instalments       | "SIP: Weekly, Monthly and Quarterly Minimum Six installments, Daily : 92 Instalments STP: Minimum Six installments "  |
| 52     | SIP SWP & STP Details: Dates                     | Any Day (1st to 31st), Weekly (All Working days)  |
| 53     | SIP SWP & STP Details: Maximum Amount (if any)   | Rs.999999999  |